



Joint Seminar: Disclosure of Inside Information and Disclosure Proceedings under SFO (Code 2517)

Mr. Vincent Kwan

@CPA Australia 14 Nov 2017

A joint seminar between the Society of Chinese Accountants & Auditors (SCAA), CPA Australia and the Hong Kong Independent Non-Executive Director Association (HKINEDA) will be held on 14 November on the sensitivity of disclosing inside information and the disclosure proceedings.

Disclosure of Inside Information and Disclosure Proceedings under SFO

(Course Code 課程編號: 2517)

Highlights: 課程重點:

- Historical Overview on Disclosure of Price Sensitive Information (PSI)
- Disclosure of Inside Information under the Securities and Futures Ordinance (SFO)
- Definition and Examples of Inside Information
- Timing and Manner of Disclosure
- Disclosure Requirement for Listed Companies
- Duty of Officers of Listed Companies
- Disclosure Proceedings under Market Misconduct Tribunal (MMT)
- Orders of MMT
- Practical Tips and Experience Sharing

Light refreshment will be provided. 薄備茶點招待。

Speaker (s): 講者:

Mr. Vincent Kwan, Consultant, Messrs. LCP Lawyers

Date:

14 November 2017 (Tuesday)

日期:

2017年11月14日(星期二)

Time:

6.00pm - 6.30pm (Registration 登記)

時間:

6.30pm - 7.30pm (Seminar 研討會)
7.30pm - 8.00pm (Networking drinks 交流)

Venue:

CPA Australia, 20/F, Tai Yau Building, 181 Johnston Road, Wanchai, Hong Kong

場地:

澳洲會計師公會(香港灣仔莊士敦道181號大有大廈20樓)

Language:

Cantonese

語言:

廣東話授課

CPD hours:

1 hr

CPD 時數:

1 小時

Fee:

HK\$100 for SCAA member/affiliate/ members' staff

費用:

華師正式會員/ 附屬會員/ 正式會員之僱員, 每位 HK\$100

請即
報名


**Enrolment:
報名:**

Online application is on a first-come, first-served basis. Please click [HERE](#) to enroll and settle the payment via PayPal, Credit Card or **Cheque.

**No seat can be reserved through telephone. Enrolment will be processed upon receipt of payment. Please be reminded to complete the online form and send together with your cheque to the Secretariat.

研討會以先到先得形式於網上報名，請[按此](#)報名並以 PayPal、信用卡或**支票付款。

**本會不設電話留位，報名以收妥支票為準。請完成網上表格連同支票寄回本會秘書處。

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**Speaker (s):
講者:**

Mr. Vincent Kwan, Consultant, Messrs. LCP Lawyers

Vincent has been in legal and accounting professions for more than 30 years. Vincent is also an INED of Sityo Group (1023). Prior to that, Vincent has been the general manager and head of legal department of Sino Group (083) and an executive director of Chuang's Group (367). Vincent is the Founding Vice President and Chairman of Regulatory Committee of Hong Kong INED Association and the Chairman of the FRA Committee of the Chamber of Hong Kong Listed Companies. Vincent is a member of both the Revenue Law Committee and the Company Law Committee of the Law Society. Vincent is an external examiner of the Law Schools of both the University of Hong Kong and the City University of Hong Kong and a part-time lecturer of the School of Business, Baptist University teaching Securities Regulation of its Master of Corporate Governance and Compliance programme. On public services, Vincent has been a member of the Board of Review (Inland Revenue Ordinance), a member of the Insider Dealing Tribunal (hearing a case on insider dealing), a member of the Market Misconduct Tribunal (hearing a case on disclosure proceedings) and an advisory member of the Rewrite of Companies Ordinance. Vincent writes the FRA column for Momentum, the official magazine of the Chamber of Hong Kong Listed Companies. Vincent holds three master degrees (LLM, MSc and MAdBusPrac) and two bachelor degrees (LLB and BSocSc) in laws, accounting, finance and business and is a certified public accountant (fellow) (non-practising). During his university days, Vincent was awarded the Ho Fook Prize by the University of Hong Kong and Citi Bank NA Scholarship by Citi Bank.

**Remarks:
備註:**
Bad weather arrangement

This event may be cancelled or re-scheduled when typhoon no.8 or black rainstorm warning is hoisted. Please refer to our website www.scaacpa.org.hk for the arrangement.

惡劣天氣安排

如活動當日懸掛黑色暴雨警告信號或八號風球或以上警告信號，活動將會取消或延期。有關安排將會另行公佈，請留意 www.scaacpa.org.hk。

**Enquiry:
查詢:**

SCAA Secretariat 華師秘書處

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同心展關懷

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