



AML/ CFT Seminar by Ingenique

3 Jul 2018



Anti-Money Laundering and Counter-Financing of Terrorism Seminar

(Course Code 課程編號: 1218)

Highlights: 課程重點:

Effective 1 Mar 2018, Certified Public Accountants ("CPA"), and Trust or Company Service Providers ("TCSP") are required to comply with the Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) Ordinance (Cap.615) ("AMLO"). Accordingly, the Company Registries, and the Hong Kong Institute of Certified Public Accountants, have issued guidelines to assist CPAs and TCSPs meet their obligations under AMLO. Specifically, these guidelines cover:

Requirements	Company Registry Guideline Chapter	HKICPA Guideline Section
AML/CFT Policies, Procedures and Controls	3 & 4	610
Customer Due Diligence	5	620
Ongoing Monitoring	6	630
Making Suspicious Transaction Reports	7	640
AML/CTF Screening	8	650
Record Keeping	9	660
Staff Training	10	670

While the guidelines are useful, it is important for professional firms to set up the operational processes to deal with the AML/CFT risks. This public seminar will provide practical information on how to implement the guidelines by CR & HKICPA, for small and medium size firm.

- Practical Guide to implementing AML/CFT Internal Policies, Procedures and Controls
- The Importance of Ongoing Monitoring
- Enhancing Your AML/CTF Process through Technology

Speaker (s): 講者:

Mr Martin Lim, *Founder and Director of Ingenique Solutions*
Mr Leonard Soh CFA, *Partner and director of Ingenique Solutions*



創於1913年
Founded in 1913

香港華人會計師公會 The Society of Chinese Accountants & Auditors

(在香港註冊成立之有限公司)
(Incorporated in Hong Kong as a company limited by guarantee)



Chairman:

主持:

Mr Edwin Yeung 楊志偉先生

Past President & Current Council Member of SCAA

香港華人會計師公會 前會長 及 現任理事

Date:

日期:

3 July 2018 (Tuesday)

2018年7月3日 (星期二)

Time:

時間:

6:30pm – 8:30pm

晚上 6:30 - 8:30

Venue:

場地:

1/F, Hall, Duke of Windsor Social Service Building (15 Hennessy Road, Wan Chai, Hong Kong)

滙莎公爵社會服務大廈1樓禮堂 (香港灣仔軒尼詩道15號)

Language:

語言:

Cantonese

廣東話

CPD hours:

2 hrs

CPD 時數:

2 小時

Fee:

費用:

HK\$200 for SCAA member/affiliate/member's staff

華師正式會員/ 附屬會員/ 正式會員之僱員，每位 HK\$200

Enrollment Privilege for SCAA Full Member 華師正式會員可享優先報名

	Enrollment Date 報名日期
Member 正式會員	19 Jun 2018
Affiliate & Members' Staff 附屬會員及會員之僱員	10:00am on 20 Jun 2018

Enrolment:

報名:

Online application is on a first-come, first-served basis. Please click [HERE](#) to enroll and settle the payment via PayPal, Credit Card or **Cheque.

**No seat can be reserved through telephone. Enrolment will be processed upon receipt of payment. Please be reminded to complete the online form and send together with your cheque to the Secretariat.

研討會以先到先得形式於網上報名，請[按此](#)報名並以 PayPal、信用卡或**支票付款。

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Speakers' Bio:
講者簡介:

Mr Martin Lim

Martin is the founder and director of Ingenique Solutions. He is the person behind the product development of SentroWeb-Dow Jones, a AML/CFT customer screening and due diligence software for small and medium sized professional firms. Prior to joining Ingenique, Martin has more than 15 years in the IT industry covering both technical and business development responsibilities. With Ingenique, he frequently engages with small and medium sized professional firms to assist them in implementing AML/CFT policies, procedures and controls, and to prepare for regulatory inspections and reviews. Through his experience with the customers, he is able to develop solutions for them to effectively implement their AML processes.

Martin holds a Master degree in Software Engineering, and a Diploma in Anti-Money Laundering.

Mr Leonard Soh CFA

Leonard is a partner and director of Ingenique Solutions. He is a finance industry professional with deep skill sets in Compliance & Sales – the culmination of more than 7 years in AML/CFT advisory, trainings & supervisions; as well as extensive bank experiences of more than 20 years. Prior to joining Ingenique, Leonard has worked in various international and global banks, in areas of treasury, markets, finance and regulatory compliance. With Ingenique, he has put his vast knowledge and understanding of AML/CFT regulations and compliance into practical application and advisory for clients; and helping them to appreciate and facilitate the transition process to AML compliant.

Leonard is a CFA (Chartered Financial Analyst), holds a Bachelor degree in Business (Financial Analysis), and is pursuing a Diploma in Anti-Money Laundering.

Remarks:
備註:

Bad weather arrangement

This event may be cancelled or re-scheduled when typhoon no.8 or black rainstorm warning is hoisted. Please refer to our website www.scaacpa.org.hk for the arrangement.

惡劣天氣安排

八號風球或黑色暴雨警告信號生效時，課程將會延期舉行或取消。詳情請留意華師網站 www.scaacpa.org.hk 公佈。

Enquiry:
查詢:

SCAA Secretariat 華師秘書處

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同心展關懷

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