

# AML / CFT Webinar

by Ingenique

Code 0520, 28 Apr 2020 (Tue)



## Anti-Money Laundering and Counter-Financing of Terrorism Webinar by Ingenique (Course Code 課程編號: 0520)

### Highlights: 課程重點:

Effective 1 Mar 2018, Certified Public Accountants ("CPA"), and Trust or Company Service Providers ("TCSP") are required to comply with the Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) Ordinance (Cap.615) ("AMLO"). Accordingly, the Company Registries, and the Hong Kong Institute of Certified Public Accountants, have issued guidelines to assist CPAs and TCSPs meet their obligations under AMLO. Specifically, these guidelines cover:

Requirements	Company Registry Guideline Chapter	HKICPA Guideline Section
AML/CFT Policies, Procedures and Controls	3 & 4	610
Customer Due Diligence	5	620
Ongoing Monitoring	6	630
Making Suspicious Transaction Reports	7	640
AML/CTF Screening	8	650
Record Keeping	9	660
Staff Training	10	670

While the guidelines are useful, it is important for professional firms to set up the operational processes to deal with the AML/CFT risks. This public seminar will provide practical information on **how to implement the guidelines** by CR & HKICPA, for small and medium size firm.

- Practical Guide to implementing AML/CFT Internal Policies, Procedures and Controls (Conducted in English)
- Enhancing Your AML/CTF Process through Technology
- The Importance of Ongoing Monitoring/ Suspicious Transaction Reporting

An online short **Questionnaire** session can be incorporated at the end of the session. This can help participants to assess their understanding of the AMLO compliance and practical applications, as well as allow SCAA to evaluate the participants.



創於 1913 年  
Founded in 1913

# 香港華人會計師公會 The Society of Chinese Accountants & Auditors

(在香港註冊成立之有限公司)  
(Incorporated in Hong Kong as a company limited by guarantee)

## Speaker (s): 講者:

**Mr Martin Lim**, Founder and Director of Ingenique Solutions

**Mr Leonard Soh CFA**, Partner and director of Ingenique Solutions

**Ms Irene Ip**, Manager of Ingenique Solutions

## Date: 日期:

28 Apr 2020 (Tuesday)  
2020 年 4 月 28 日 (星期二)

## Time: 時間:

6:30pm – 8:30pm  
晚上 6:30 - 8:30

## Format: 授課形式:

Webinar (Live via zoom)  
網路研討會 (zoom 直播)

## Language: 語言:

English/ Cantonese  
英語/ 廣東話

## CPD hours: CPD 時數:

2 hrs  
2 小時

## Fee: 費用:

HK\$200 for SCAA member/affiliate/member's staff ; HK\$300 for Non-member  
華師正式會員/ 附屬會員/ 正式會員之僱員，每位 HK\$200; 非會員，每位 HK\$300

請即  
報名

## Enrolment: 報名:

	Enrollment Date 報名日期
Member 正式會員	<b>17 Apr 2020</b>
Affiliate, Members' Staff & Non-member 附屬會員、會員之僱員、非會員	<b>10:00am on 20 Apr 2020</b>

Online application is on a first-come, first-served basis. Please click [HERE](#) to enroll and settle the payment via PayPal, Credit Card or \*\*Cheque.

\*\*No seat can be reserved through telephone. Enrolment will be processed upon receipt of payment. Please be reminded to complete the online form and send together with your cheque to the Secretariat.

研討會以先到先得形式於網上報名，請[按此](#)報名並以 PayPal、信用卡或\*\*支票付款。

\*\*本會不設電話留位，報名以收妥支票為準。請完成網上表格連同支票寄回本會秘書處。

[Enroll Now](#) 現正接受報名

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## Remarks: 備註:

A Zoom account is not required to attend the webinar. However, participant need to install Zoom first (The software is compatible with smartphone, tablet and computer). 參加者無須註冊 Zoom 帳號也可參與研討會，但必須安裝 Zoom 軟體(智能手機及電腦皆可)。

**Guide to downloading Zoom, user guide on zoom and link/ ID of the webinar will be sent to registered participants by email on or before 28 April.**

香港灣仔駱克道八十八號六樓 6/F., 88 Lockhart Road, Wanchai, H.K

Tel : (852) 2869 6680 Fax : (852) 2526 6434 E-mail : [info@scaacpa.org.hk](mailto:info@scaacpa.org.hk) Web site : [www.scaacpa.org.hk](http://www.scaacpa.org.hk)



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## Enquiry: 查詢:

**Zoom 安裝方法、Zoom 使用教學及研討會連結/ID 將於 4 月 28 日或之前發送至參加者的登記電郵地址，以讓參加者進行連線。**

SCAA Secretariat 華師秘書處

Ms Ally Chan 陳小姐

Tel 電話: 2869 6003

Email 電郵: [training@scaacpa.org.hk](mailto:training@scaacpa.org.hk)

Facebook: [www.facebook.com/scaacpa](https://www.facebook.com/scaacpa)



## Speakers' Bio: 講者簡介:

### **Mr Martin Lim, Founder and Director of Ingenique Solutions**

Martin is the founder and director of Ingenique Solutions. He is the person behind the product development of SentroWeb-Dow Jones, a AML/CFT customer screening and due diligence software for small and medium sized professional firms. Prior to joining Ingenique, Martin has more than 15 years in the IT industry covering both technical and business development responsibilities. With Ingenique, he frequently engages with small and medium sized professional firms to assist them in implementing AML/CFT policies, procedures and controls, and to prepare for regulatory inspections and reviews. Through his experience with the customers, he is able to develop solutions for them to effectively implement their AML processes.

Martin holds a Master degree in Software Engineering, and a Diploma in Anti-Money Laundering.

### **Mr Leonard Soh CFA, Partner and director of Ingenique Solutions**

Leonard is a partner and director of Ingenique Solutions. He is a finance industry professional with deep skill sets in Compliance & Sales – the culmination of more than 7 years in AML/CFT advisory, trainings & supervisions; as well as extensive bank experiences of more than 20 years. Prior to joining Ingenique, Leonard has worked in various international and global banks, in areas of treasury, markets, finance and regulatory compliance. With Ingenique, he has put his vast knowledge and understanding of AML/CFT regulations and compliance into practical application and advisory for clients; and helping them to appreciate and facilitate the transition process to AML compliant.

Leonard is a CFA (Chartered Financial Analyst), holds a Bachelor degree in Business (Financial Analysis), and a Diploma in Anti-Money Laundering.

### **Ms Irene Ip, Manager of Ingenique Solutions**

Irene is the manager responsible for business development in Ingenique Solutions (Hong Kong branch). Prior to joining Ingenique, Irene has worked in several securities trading and listed companies in an accountancy role, where she has accumulated more than 8 years of accountancy experiences. With Ingenique, she plays a key role in developing and growing the Hong Kong market, by putting her strong client relationship skills and practical knowledge in AML/CTF matters to help clients address their AML/CTF related issues, concerns & requirements.

Irene holds a Higher Diploma in Accountancy, a Diploma in Accountancy & Information Systems; and a Diploma in Anti-Money Laundering.